



dun & bradstreet

# ESG Rankings Report

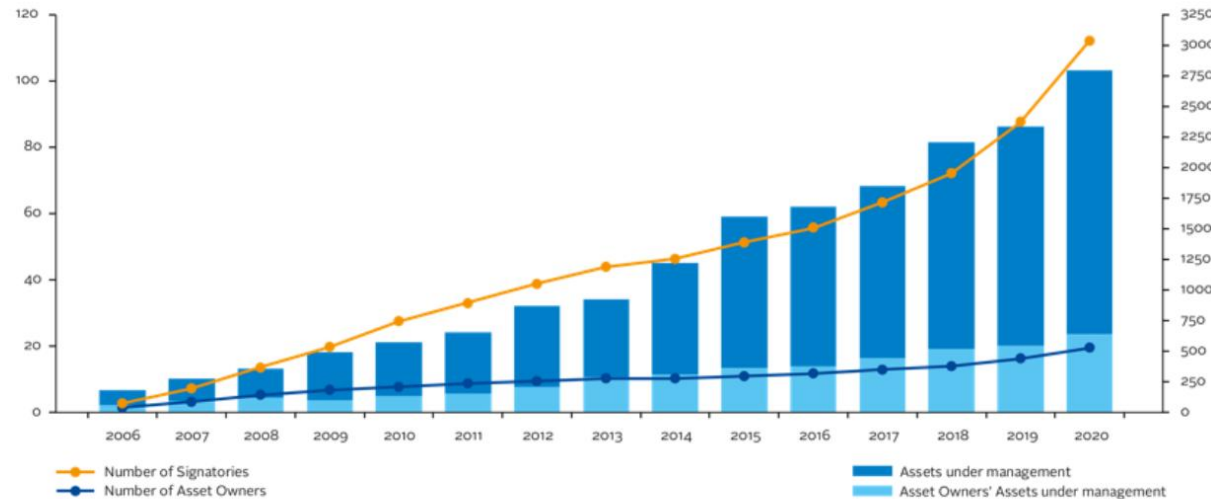
COMMERCIAL IN CONFIDENCE

# ESG HAS EMERGED AS MAINSTREAM DATA

ESG data has matured and proven to be both valuable and useful by investors, creditors, insurers, companies, regulators and other stakeholders.

## Increase in UN PRI signatories and AUM

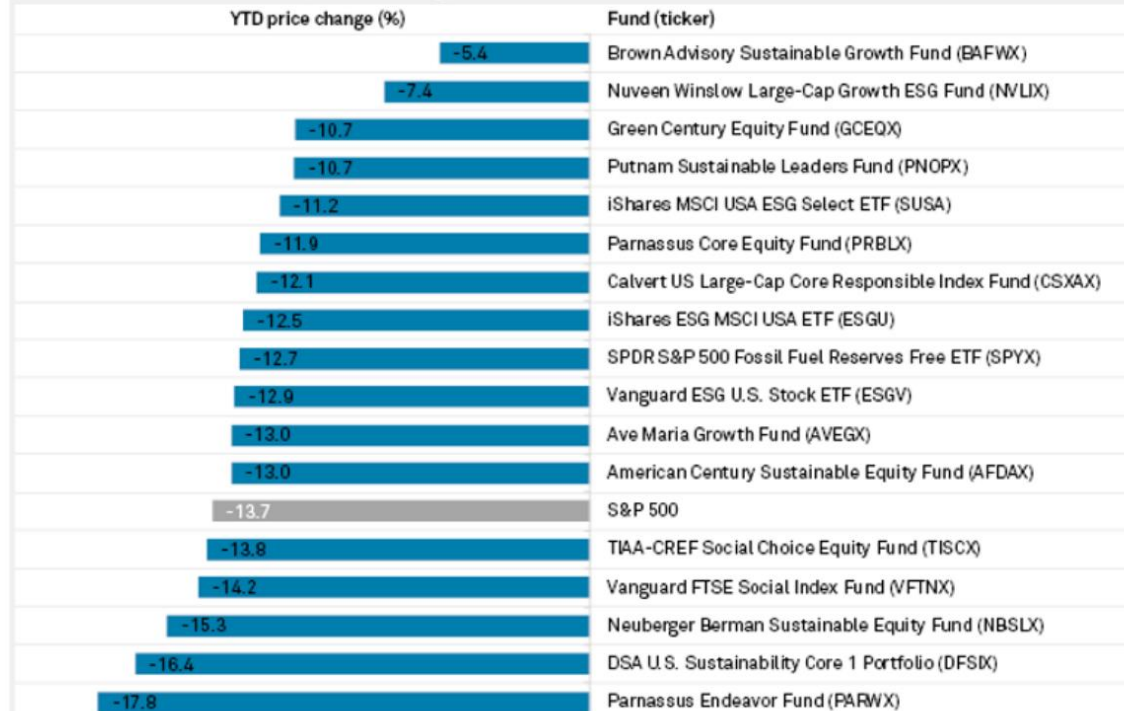
Assets under management (US\$ trillion)



Source: UN PRI (2021)

## ESG funds outperformed during COVID recession

### Several ESG funds outperforming the S&P 500 in 2020



Data compiled April 10, 2020.

Analysis limited to select U.S. equity ESG exchange-traded funds and mutual funds, with more than \$250 million in assets under management including all share classes.

Price change measured from Dec. 31, 2019, to April 9, 2020.

Source: S&P Global Market Intelligence

# WHAT DOES ESG MEAN TO D&B?



## COVERAGE

Largest coverage of private and public companies



## REAL DATA

Only uses real data



## DISTILLED

Information distilled to decision-useful metrics



## FREQUENCY

Monthly updates available for real-time tracking

## CURRENT LANDSCAPE

Only covers public companies

Relies on modelling to fill data gaps

Includes noisy data that can be irrelevant

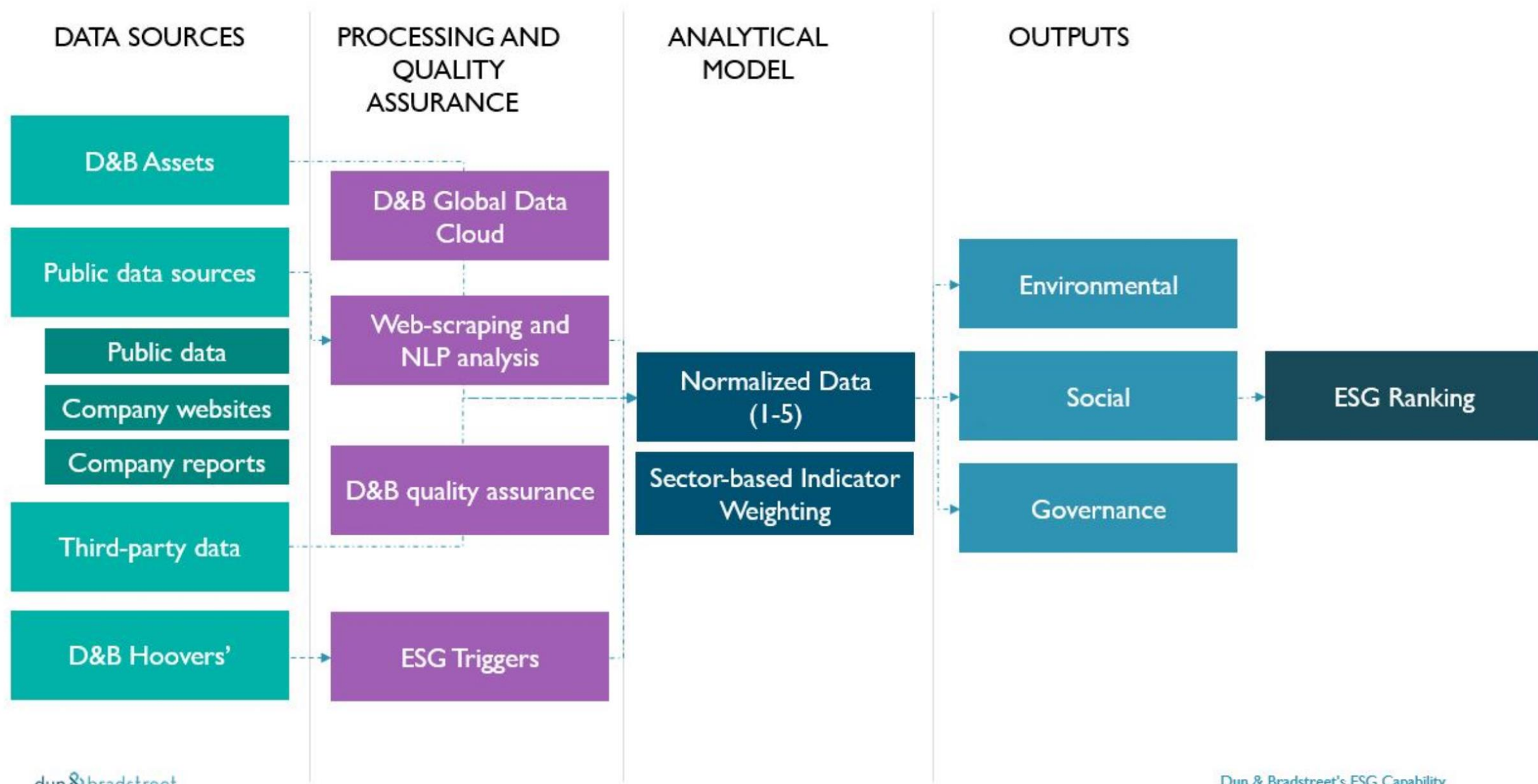
Only annual updates that rely on company disclosure



# D&B ESG FRAMEWORK

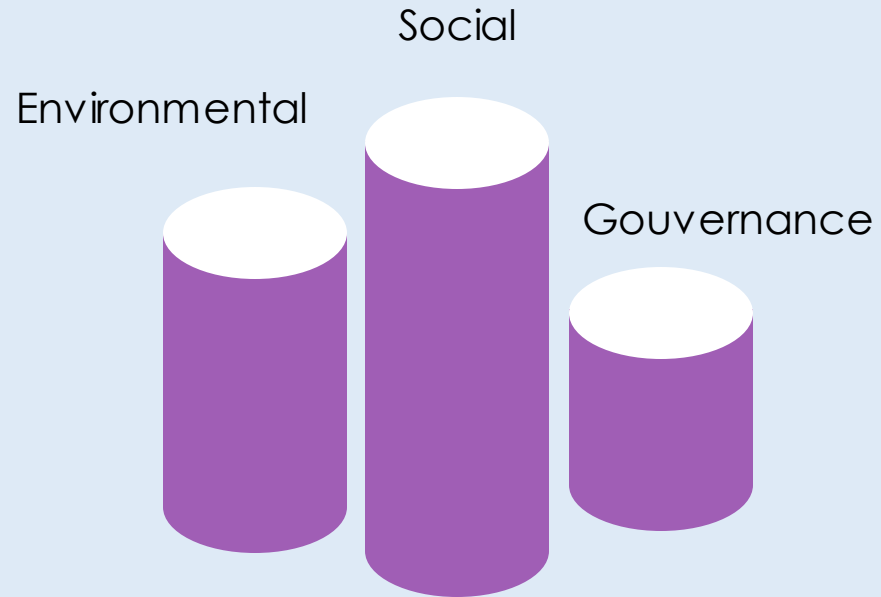
| ENVIRONMENTAL               |                                     | SOCIAL               |                               | GOVERNANCE           |                                   |
|-----------------------------|-------------------------------------|----------------------|-------------------------------|----------------------|-----------------------------------|
| THEMES                      | TOPICS                              | THEMES               | TOPICS                        | THEMES               | TOPICS                            |
| Natural Resources           | Energy Management                   | Human Capital        | Labor Relations               | Corporate Governance | Business Ethics                   |
|                             | Water Management                    |                      | Health and Safety             |                      | Board Accountability              |
|                             | Materials Sourcing and Management   |                      | Training and Education        |                      | Shareholder Rights                |
|                             | Waste and Hazards Management        |                      | Diversity and Inclusion       |                      | Business Transparency             |
|                             | Land Use and Biodiversity           |                      |                               | Corporate Behaviors  | Corporate Compliance Behaviors    |
|                             | Pollution Prevention and Management | Product and Service  | Human Rights Abuses           |                      | Governance-related Certifications |
| GHG Emissions and Climate   | GHG Emissions                       |                      | Cyber Risk                    | Business Resilience  | Business Resilience and Stability |
|                             |                                     | Customer Engagement  | Product Quality Management    |                      |                                   |
|                             | Climate Risk                        |                      | Product and Services          |                      |                                   |
|                             |                                     | Community Engagement | Data Privacy                  |                      |                                   |
| Environmental Risk          | Environmental Compliance            |                      | Corporate Philanthropy        |                      |                                   |
|                             |                                     | Supplier Engagement  | Community Engagement          |                      |                                   |
| Environmental Opportunities | Environmental Opportunities         | Certifications       | Supplier Engagement           |                      |                                   |
|                             | Environmental Certifications        |                      | Social-related certifications |                      |                                   |

# HIGH-LEVEL ESG METHODOLOGY



# ESG Ranking – Score

Piliers ESG



Score ESG

Un score de 1 à 5 est attribué à chaque pilier E, S et G résultant en un score global ESG également sur 5 positions

Bonne performance ESG /  
Risque le plus bas

1

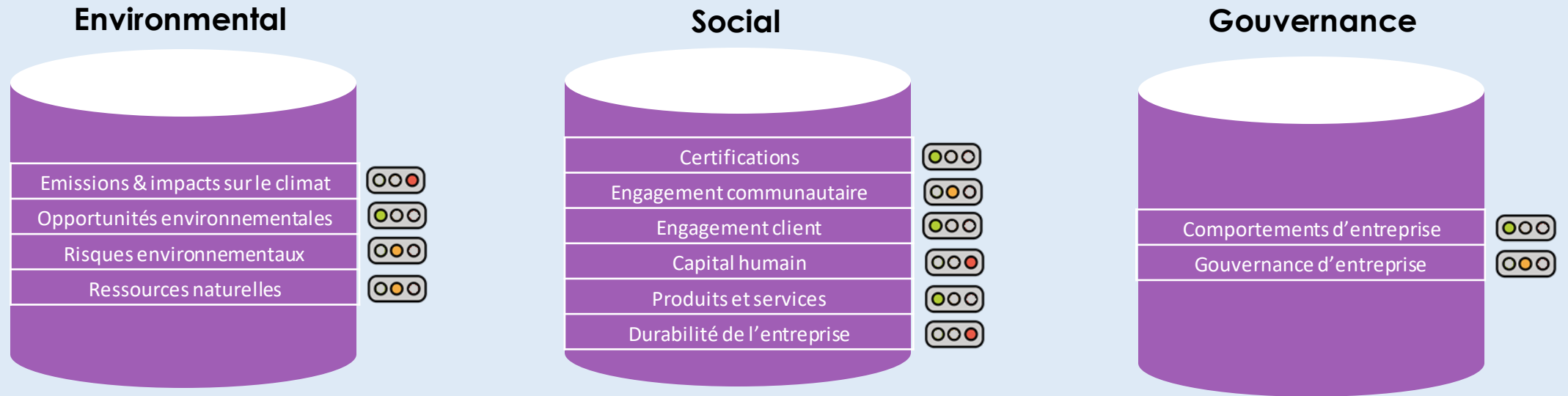
Mauvaise performance ESG /  
Risque le plus haut

5

Par rapport à la moyenne du secteur d'activité

# ESG Ranking – Evaluation des thèmes

Chaque pilier ESG est composé de différents thèmes, avec une évaluation comprise entre 3 et 1 (négative, neutre, positive)

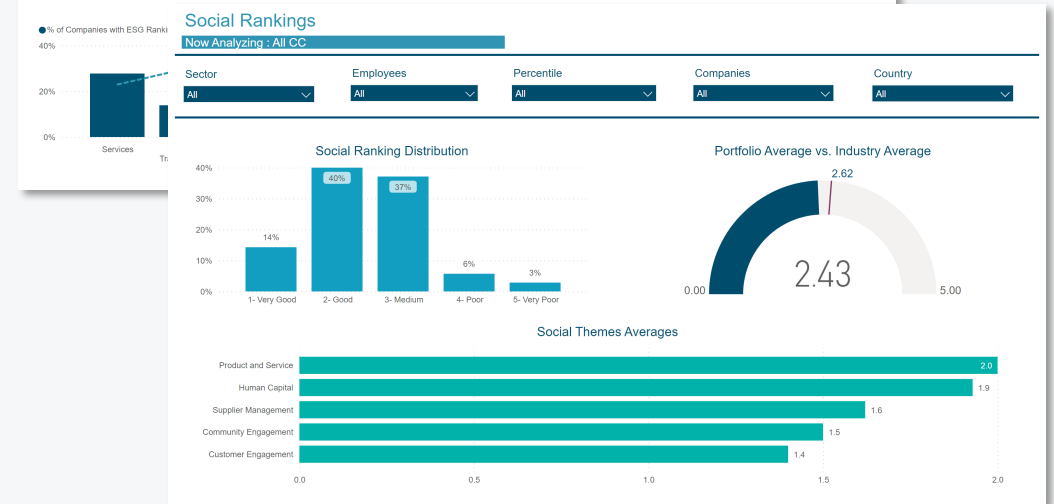
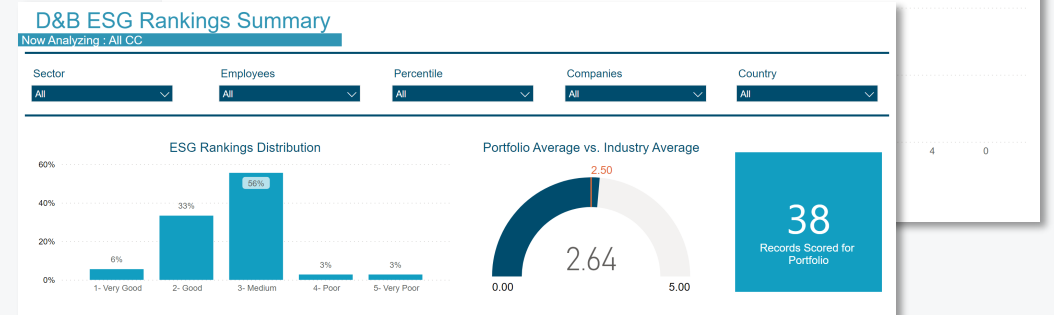
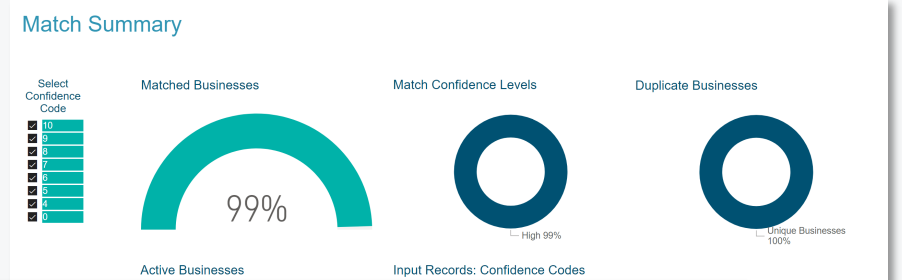


Un minimum de 4 thèmes évalués (sur 13) est requis pour assigner un score ESG à l'entreprise

*Le poids de chaque thème est basé sur la catégorisation de l'organisation selon la classification sectorielle du SASB*

# Specimen

## ESG Ranking





# Match Summary

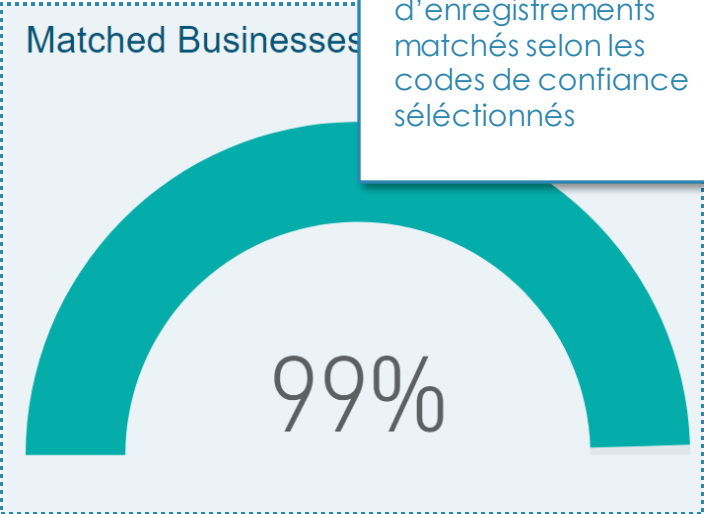


**Code confidence**

Codes de confiance sélectionnés pour le matching

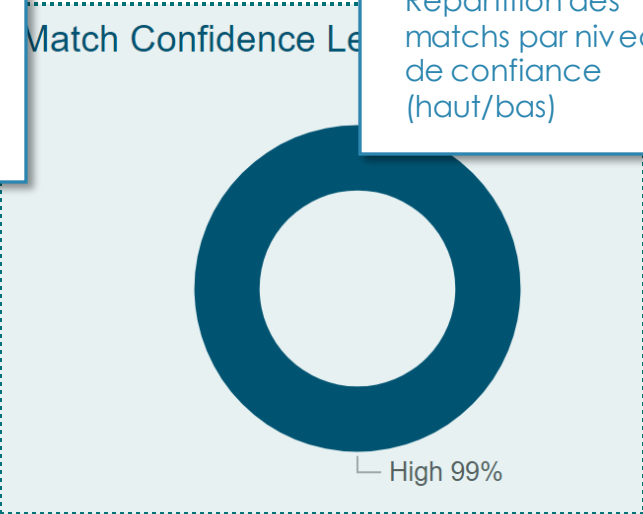
**Matched Businesses**

Taux d'enregistrements matchés selon les codes de confiance sélectionnés



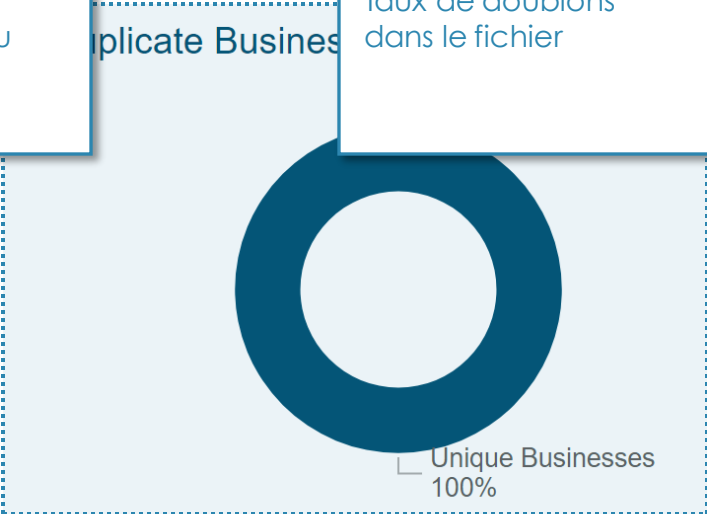
**Match Confidence Levels**

Répartition des matchs par niveau de confiance (haut/bas)



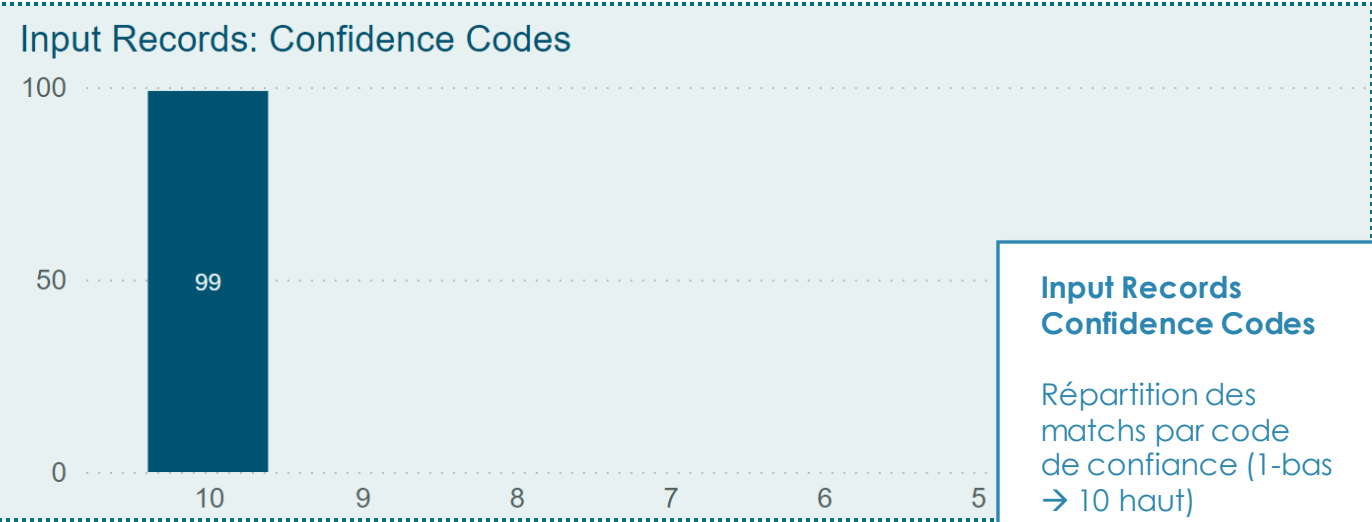
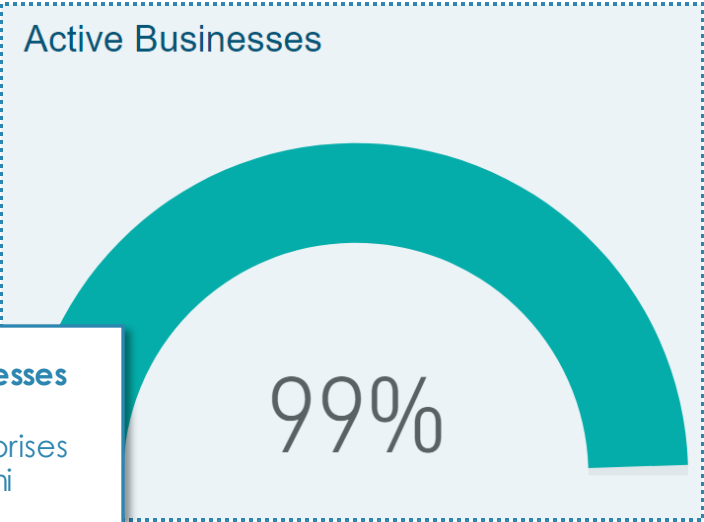
**Duplicate Businesses**

Taux de doublons dans le fichier



**Active Businesses**

Part d'entreprises actives parmi



**Input Records Confidence Codes**

Répartition des matchs par code de confiance (1-bas → 10 haut)

Confidence Level ● High ● Medium ● Low ● None

# D&B ESG Rankings Summary

Now Analyzing : All CC

## Filtres

Des filtres peuvent être appliqués sur l'univers dans D&B IQ

Sector

All

Employees

All

Percentile

All

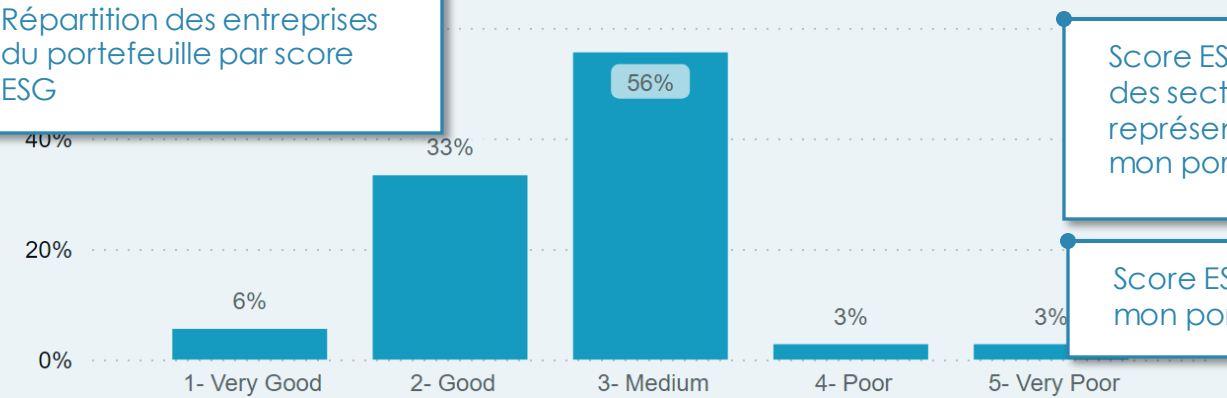
Companies

All

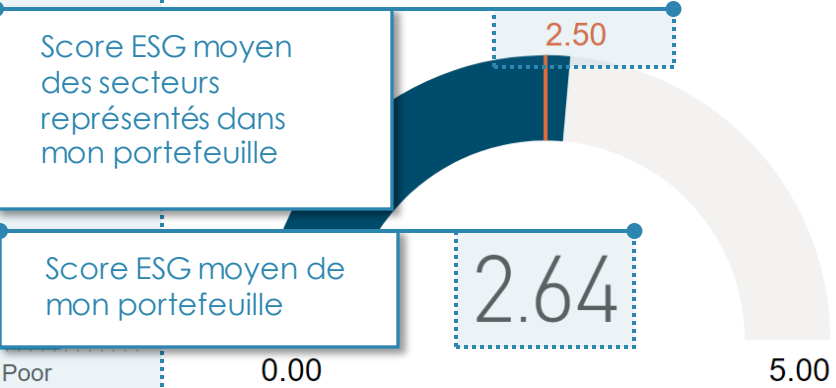
## ESG Rankings Distribution

Répartition des entreprises du portefeuille par score ESG

### ESG Rankings Distribution



## Portfolio Average vs. Industry Average



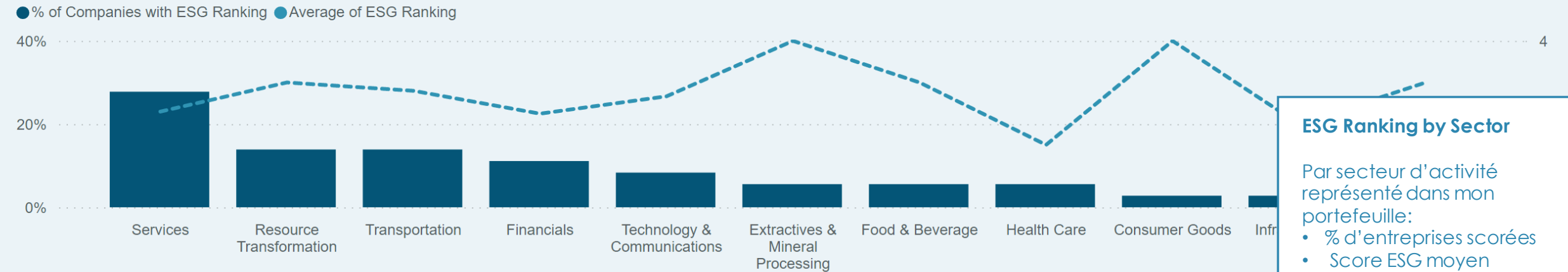
## Records Scored

Nombre d'entités matchées ayant un score ESG

38

Records Scored for Portfolio

## % of Companies with ESG Ranking by Sector



## ESG Ranking by Sector

Par secteur d'activité représenté dans mon portefeuille:

- % d'entreprises scorées
- Score ESG moyen

# Environmental Rankings

Now Analyzing : All CC

Sector

All

Employees

All

Percentile

All

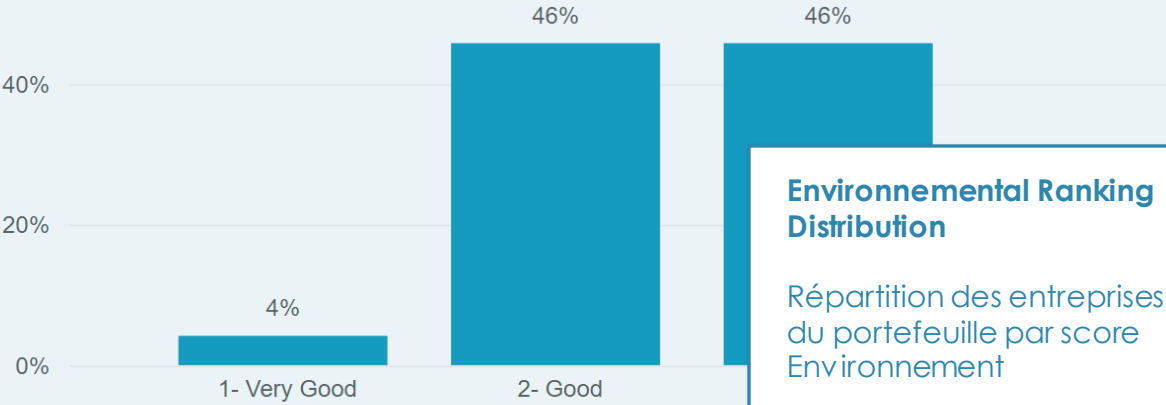
Companies

All

Country

All

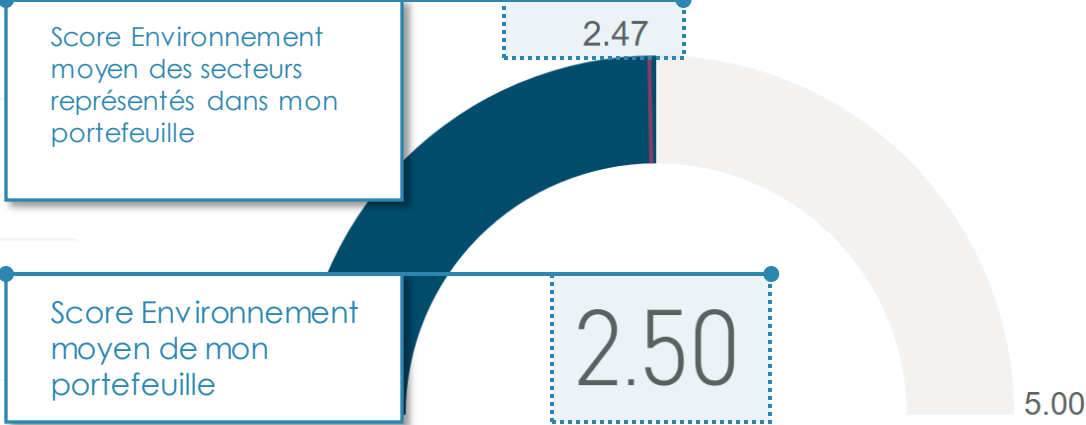
Environmental Ranking Distribution



**Environnemental Ranking Distribution**

Répartition des entreprises du portefeuille par score Environnement

Portfolio Average vs. Industry Average



Environmental Themes Averages



**Environmental Themes Averages**

Evaluation moyenne (1-positive, 2-neutre, 3-négative) de mon portefeuille par thèmes environnementaux

# Social Rankings

Now Analyzing : All CC

Sector

All

Employees

All

Percentile

All

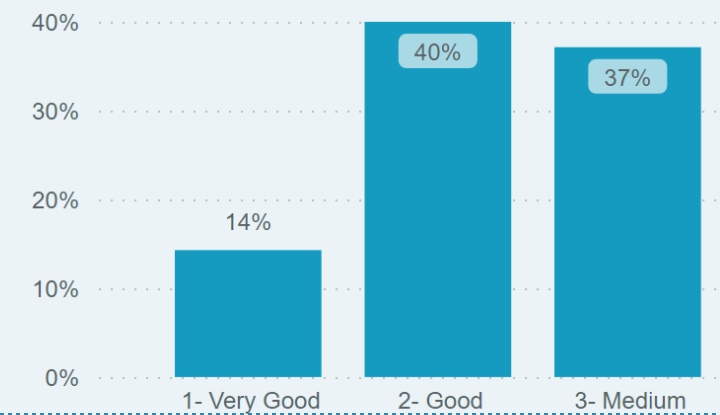
Companies

All

Country

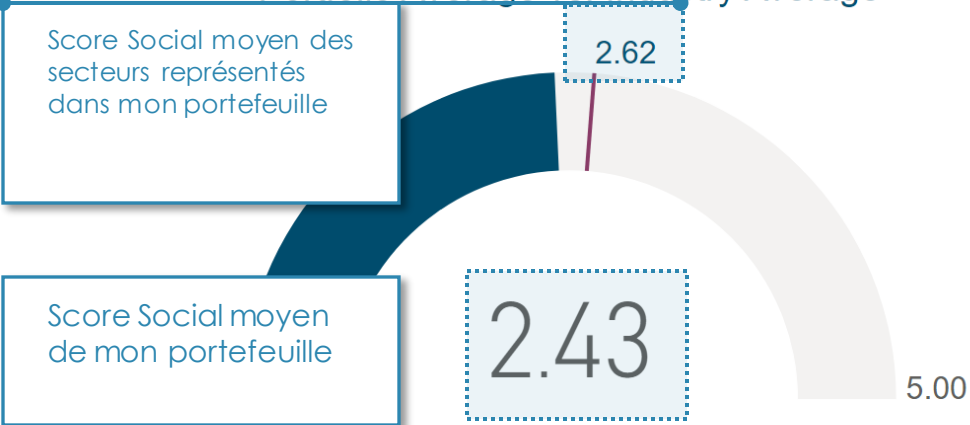
All

Social Ranking Distribution



**Social Ranking Distribution**  
Répartition des entreprises du portefeuille par score Social

Portfolio Average vs. Industry Average



Social Themes Averages



**Environmental Themes Averages**  
Evaluation moyenne (1- positive, 2-neutre, 3-négative) de mon portefeuille par thèmes sociaux

# Governance Rankings

Now Analyzing : All CC

Sector

Employees

Percentile

Companies

Country

All

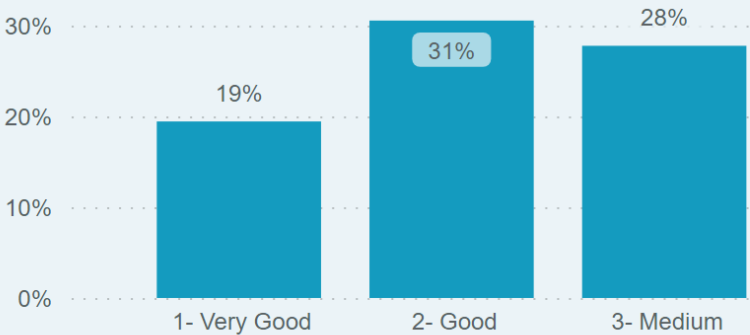
All

All

All

All

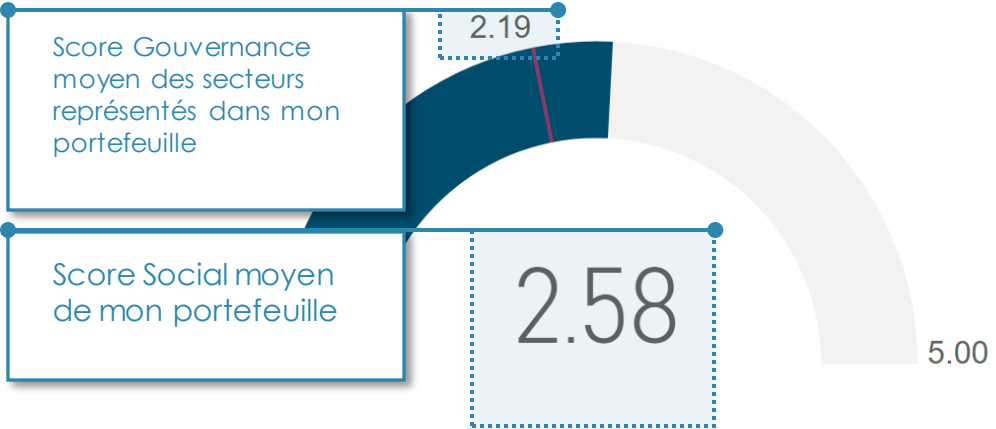
Governance Ranking Distribution



Social Ranking Distribution

Répartition des entreprises du portefeuille par score Gouvernance

Portfolio Average vs. Industry Average



Governance Themes Averages



Environmental Themes Averages

Evaluation moyenne (1- positive, 2-neutre, 3-négative) de mon portefeuille par thèmes de Gouvernance





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